

PWA-IDAP Audit Recommendation Follow-Up

Folder: **A - Administration**

Sub-Folder: ***A.1 - Qualifications & Independence***

Workpaper: A.1.2 - Assignment form for PA, PL,RS and MP

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-11-21		2013-11-21	2013-11-26

Workpaper: A.1.5 - Independence statement for Philip Lim

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-11-21		2013-11-21	2013-11-26

Workpaper: A.1.3 - Independence statement for Marti Paschal

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-11-21		2013-11-21	2013-11-26

Workpaper: A.1.6 - Non-audit assignment form

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-02		2013-12-03	2013-12-18

Workpaper: A.1.7 - Independence statement for Nori Hirasuna

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	NH
Date	2014-02-04	2014-02-04	2014-02-04	2014-05-05

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Workpaper: A.1.1 - Independence statement for Rose Sutton

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-11-21		2013-11-21	2013-11-26

Workpaper: A.1.4 - Independence statement for Paige Alderete

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-11-21		2013-11-21	2013-11-26

Sub-Folder: A.3 - Wrap-Up

Workpaper: A.3.1 - RS's Post Audit Lessons Learned 5-13-14

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2014-06-13		2014-06-13	2014-09-15

Sub-Folder: A.2 - Reporting

Workpaper: A.2.17 - Draft report FORMATTED 121013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-16		2013-12-16	2013-12-18

Workpaper: A.2.3 - Draft report - w/ CR and PA edits 11182013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-11-19		2013-11-19	2013-11-26

Workpaper: A.2.13 - Draft report with, CR, PA and MP edits 11262013

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State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-12-11	2013-12-11	2013-12-11	2013-12-18

Workpaper: A.2.9 - Receipt of docs for draft report to PWA 11272013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-02		2013-12-11	2013-12-18

Workpaper: A.2.10 - Draft report with PA edits 10252013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-11		2013-12-11	2013-12-18

Workpaper: A.2.6 - FINAL cover letter for draft report 11272013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-02		2013-12-11	2013-12-18

Workpaper: A.2.11 - Draft report with PA edits 10292013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-11		2013-12-11	2013-12-18

Workpaper: A.2.4 - Draft report with CR and PA edits 112613 (1)

State: Reviewed

	Create	Edit	Prepare	Review
User		PAA	RS	PAA
Date	2013-11-19	2013-11-26	2013-12-11	2013-12-18

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Workpaper: A.2.20 - Delivery confirmation to City Council, City Administrator, Assistant City Administrator and Mayor Quan

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	NH
Date	2014-01-28		2014-01-28	2014-05-05

Workpaper: A.2.14 - Draft report with PA edits 11262013 (2)

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-11		2013-12-11	2013-12-18

Workpaper: A.2.1 - Draft report2

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-10-21	2013-10-29	2013-12-11	2013-12-18

Workpaper: A.2.7 - Receipt of docs for draft report to City Admin 11272013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-02		2013-12-11	2013-12-18

Workpaper: A.2.12 - Draft report with PA edits 11192013

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-12-11	2013-12-11	2013-12-11	2013-12-18

Workpaper: A.2.18 - Draft report FORMATTED 121313

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-16		2013-12-16	2013-12-18

PWA-IDAP Audit Recommendation Follow-Up

Workpaper: A.2.15 - Draft report with PA edits 11272013

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-12-11	2013-12-11	2013-12-11	2013-12-18

Workpaper: A.2.16 - Draft report FORMATTED 120213

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-16		2013-12-16	2013-12-18

Workpaper: A.2.8 - Receipt of docs for draft report to Controller 11272013

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-02		2013-12-11	2013-12-18

Workpaper: A.2.5 - Draft cover letter for draft report w/ PA edits 11272013

State: Reviewed

	Create	Edit	Prepare	Review
User		NH	RS	PAA
Date	2013-12-02	2013-12-02	2013-12-11	2013-12-18

Workpaper: A.2.2 - Draft report with IRR edits by MP

State: Reviewed

	Create	Edit	Prepare	Review
User		MP	RS	PAA
Date	2013-10-28	2013-11-07	2013-12-11	2013-12-18

Workpaper: A.2.19 - FINAL DRAFT report sent to Admin for response 11272013

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-12-16	2013-12-16	2013-12-16	2013-12-18

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Folder: **B - Survey**

Sub-Folder: ***B.1 - Survey***

Workpaper: B.1.1 - PWA IDAP Follow Up Request Admin Letter 6.27.13

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Workpaper: B.1.9 - Preliminary analysis

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Workpaper: B.1.4 - PWA-IDAP Follow Up Letter

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Workpaper: B.1.10 - PWA-IDAP CR approval of open recommendations

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Workpaper: B.1.12 - Not used - Consists of only first page of document, use w/p ref. C.1.20

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-09	2013-10-18	2013-10-18	2013-12-02

PWA-IDAP Audit Recommendation Follow-Up

Workpaper: B.1.7 - Not used - Use w/p ref. B.1.8 which is the TeamImage version of this same document

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-18	2013-10-18	2013-12-02

Workpaper: B.1.13 - Q's regarding FY 13-15 budgeting for removal of illegal dumping and litter

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-12-17		2013-12-17	2013-12-18

Workpaper: B.1.5 - PWA-IDAP Follow Up Letter 7.9.13

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Workpaper: B.1.2 - PWA IDAP Follow Up Request Admin Letter 7.8.13

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Workpaper: B.1.3 - PWA IDAP Follow Up Request Admin Letter FINAL

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Workpaper: B.1.11 - Notes regarding the definition of 'Closed'

State: Reviewed

	Create	Edit	Prepare	Review
User				NH

PWA-IDAP Audit Recommendation Follow-Up

Date	2013-10-09	2013-10-11	2013-10-16	2013-12-02
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Workpaper: B.1.6 - Receipt of Documents for Follow Up Letter

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-25	2013-10-09	2013-10-09	2013-12-02

Folder: C - Follow-Up on Audit Report Recommendations

Sub-Folder: C.1 - Recommendation Follow-Up 1-7

Workpaper: C.1.3 - City Attorney Press Release re: City Council to consider illegal dumping ordinance

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-24	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.11 - Not used - Consists of only first page of document, use w/p ref. C.1.9

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-11	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.23 - DO NOT USE - UNRELATED TO AUDIT - Comprehensive Audit Manual

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-10-30	2013-12-12	2013-12-12	2013-12-18

Workpaper: C.1.4 - Not used - replaced with w/p ref. C.1.24

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA

PWA-IDAP Audit Recommendation Follow-Up

Date	2013-10-01	2013-10-18	2013-12-12	2013-12-18
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Workpaper: C.1.14 - Sections of 10/9/13 meeting record of meeting held between Assistant Auditor Alderete and P. Lim

State: Reviewed

	Create	Edit	Prepare	Review
User		PAA		NH
Date	2013-10-11	2013-11-26	2013-10-16	2013-12-02

Workpaper: C.1.16 - Not used - Use w/p ref. C.1.17 which is the TeamImage version of essentially this same document

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-15	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.22 - DO NOT USE - UNRELATED TO AUDIT - RPP

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-10-29	2013-12-12	2013-12-12	2013-12-18

Workpaper: C.1.5 - Final issued audit report for PWA-IDAP accounts receivable performance audit

State: Reviewed

	Create	Edit	Prepare	Review
User		RS		PAA
Date	2013-10-09	2013-12-12	2013-10-16	2013-12-18

Workpaper: C.1.9 - Select pages of FYs 2013-14 & 2014-15 City Proposed Policy Budget

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-11	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.20 - Select pages of FYs 2011-12 and 2012-13 City Adopted Policy Budget

PWA-IDAP Audit Recommendation Follow-Up

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-18	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.6 - Not used - File grayed out after TeamMate export, use w/p ref. C.1.17

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-09	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.12 - Section of 6/27/13 meeting record of meeting held between Assistant Auditor Alderete and P. Lim

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-11	2013-10-16	2013-10-16	2013-12-02

Workpaper: C.1.21 - DO NOT USE - UNRELATED TO AUDIT - Municode

State: Reviewed

	Create	Edit	Prepare	Review
User			RS	PAA
Date	2013-10-29	2013-10-30	2013-12-12	2013-12-18

Workpaper: C.1.19 - Another version of email from A. Katz of City Attorney's office re: City Council to consider illegal dumping ordinance

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-18	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.17 - PWA agenda report dated 3/5/12

State: Reviewed

	Create	Edit	Prepare	Review
User		RS		PAA
Date	2013-10-15	2013-12-12	2013-10-16	2013-12-18

PWA-IDAP Audit Recommendation Follow-Up

Workpaper: C.1.8 - Not used - Consists of only first page of document, use w/p ref. C.1.20

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-09	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.15 - DO NOT USE - REPLACED WITH C.1.24 - Analysis of implementation status of audit recommendations

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-10-15	2013-12-11	2013-12-11	2013-12-18

Workpaper: C.1.24 - Revised analysis worksheet

State: Reviewed

	Create	Edit	Prepare	Review
User		RS	RS	PAA
Date	2013-12-03	2013-12-12	2013-12-12	2013-12-18

Workpaper: C.1.7 - Not used - File grayed out after TeamMate export, use w/p ref. C.1.18

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-09	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.13 - Notes Assistant Auditor Alderete took of telephone conversation with Brooke Levin of PWA

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-11	2013-11-06	2013-10-16	2013-12-02

Workpaper: C.1.18 - PWA agenda report dated 8/26/13

State: Reviewed

	Create	Edit	Prepare	Review
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PWA-IDAP Audit Recommendation Follow-Up

User		RS		PAA
Date	2013-10-15	2013-12-12	2013-10-16	2013-12-18

Workpaper: C.1.1 - Not used - replaced with w/p ref. C.1.24

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-20	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.2 - City Attorney Press Release re: City of Oakland Cracks Down on Illegal Dumping

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-09-24	2013-10-18	2013-10-18	2013-12-02

Workpaper: C.1.10 - Select pages of FY 2012-13 City Amended Midcycle Policy Budget

State: Reviewed

	Create	Edit	Prepare	Review
User				NH
Date	2013-10-11	2013-10-18	2013-10-18	2013-12-02