# OFFICE OF THE CITY CLERK CITY OF OAKLAND COUNCIL AGENDA REPORT OCT 28 PM 12: 01

FROM:Budget OfficeDATE:November 9, 2004RE:Resolution Authorizing the City Administrator to Renew and Execute a Contract with Francisco & Associates for Two-Year Amount Not to Exceed \$122,000 to Perform District Engineering Services For the Landscaping and Lighting Assessment District, the Wildfire Prevention	
RE: Resolution Authorizing the City Administrator to Renew and Execute a Contract with Francisco & Associates for Two-Year Amount Not to Exceed \$122,000 to Perform District Engineering Services For the	
Contract with Francisco & Associates for Two-Year Amount Not to Exceed \$122,000 to Perform District Engineering Services For the	
Assessment District, the Emergency Medical Services Retention Act of 1997 (Measure M), the Paramedic Services Act of 1997 (Measure N), the Library Services Retention and Enhancement Act of 2004 (Measure Q), and the Rockridge Library Community Facilities District of 1992 for Fiscal Years 2005-06 and 2006-07	

#### SUMMARY

Staff recommends that the City Council approve the attached resolution, which accomplishes the following:

- 1. Authorizes the City Administrator to renew and execute a contract with Francisco & Associates, Inc. for FY 2005-06 and FY 2006-07, for mandated district engineering services in an amount not-to-exceed \$122,000.
- 2. Designates Francisco & Associates, Inc. as the Engineer of Work for the Landscaping and Lighting Assessment District (LLAD), the Wildfire Prevention Assessment District, the Emergency Medical Services Retention Act of 1997 (Measure M), the Paramedic Services Act of 1997 (Measure N), the Library Services Retention and Enhancement Act of 2004 (Measure Q), and the Rockridge Community Facilities District for FY 2005-06 and FY 2006-07.
- 3. Determines a contract period of January 1, 2005 through December 31, 2006.
- 4. Authorizes the City Administrator to direct Francisco & Associates, Inc. to file an annual report for the Landscaping and Lighting Assessment District with the City Clerk in accordance with the provisions of the Landscaping and Lighting Act of 1972, specifically Section 22622 of the California Streets and Highways Code.
- 5. Authorizes the City Administrator to direct Francisco & Associates, Inc. to file an annual report for the Wildfire Prevention Assessment District with the City Clerk in accordance with the provisions of Section 50078 of the California Government Code, per the Oakland Fire Suppression, Prevention and Preparedness District Ordinance (2003).

#### FISCAL IMPACTS

The total cost of this two-year contract is not to exceed \$122,000, including the following:

Base contract amount:		\$117,000
Out-of-pocket expenses (\$2,500 maximum per year):		5,000
	Total:	\$122,000

The funding sources include money from each respective district as follows:	
Landscaping and Lighting Assessment District (Fund 2310):	\$41,785
Wildfire Prevention Assessment District (Fund 2320):	25,893
Measure M - Emergency Medical Services Retention Act (Fund 2412):	8,358
Measure N - Paramedic Services Act (Fund 2250):	8,358
Measure Q - Library Services Retention and Enhancement Act (Fund 2240):	31,339
Rockridge Library Community Facilities District (Fund 5130):	<u>6,267</u>
Total:*	\$122,000

\*The three percent contract compliance fee which equals \$3,660 will be split proportionally among the districts.

Funding for this two-year contract will be included in the Budget Office's FY 2005-07 budget request. The FY 2005-07 total contract amount represents a 1.1 percent decrease from the FY 2003-05 amount, also funded by the Budget Office. There are two important differences between the FY 2003-05 and proposed FY 2005-07 contracts:

- 1. The FY 2003-05 contract included a one-time fee of \$30,000 for an audit and reassessment of non-residential assessable parcels for the Landscaping and Lighting Assessment District. This fee is not charged in the FY 2005-07 contract, however the reassessed parcels have been incorporated into the proposed LLAD scope of work.
- 2. FY 2005-07 includes district engineering services for the new Wildfire Prevention Assessment District (WPAD). The WPAD was not included in the FY 2003-05 contract as it did not exist at the time the contract was signed.

#### BACKGROUND

#### District and Special Tax Levy Overview

The voters of the City of Oakland approved the Landscaping and Lighting Assessment District in 1989, the Wildfire Prevention Assessment District in 2004, Emergency Medical Services Retention Act in 1997 (Measure M), Paramedic Services Act in 1997 (Measure N), Library Services Retention and Enhancement Act in 2004 (Measure Q), and Rockridge Community Facilities District in 1992. In total, the aforementioned special district assessments and tax levies generate approximately \$33.34 million revenue annually. Each utilizes direct benefit assessments and provides a funding source to the City of Oakland for specific activities as follows:

- Landscaping and Lighting Assessment District (LLAD) funds maintenance and improvements of open space, park grounds and structures, and street lighting in the City of Oakland. The LLAD is subdivided into two residential districts and three non-residential districts. It was reconfirmed in November of 1994 with the defeat of Measure S, which called for a repeal of the LLAD. This district includes approximately 103,000 parcels and generates approximately \$17.72 million in annual assessment revenue. The district does not have a sunset date.
- Wildfire Prevention Assessment District expands vegetation management and fire prevention programs in the fire-prone Oakland hills. This district includes approximately 25,000 parcels and generates approximately \$1.77 million in annual assessment revenue. The district will sunset on July 1, 2014 unless reapproved by property owners in the district.
- Emergency Medical Services Retention Act of 1997 (Measure M) pays for emergency dispatch and medical services in the City of Oakland. Measure M replaced funding from a previous assessment invalidated by Proposition 218. This act covers approximately 103,000 parcels and generates approximately \$1.5 million in annual special tax revenue. The act does not have a sunset date.
- Paramedic Services Act of 1997 (Measure N) pays for paramedic emergency services in the City of Oakland. This act covers approximately 103,000 parcels and generates approximately \$1.2 million in annual special tax revenue. The act does not have a sunset date.
- Library Services Retention and Enhancement Act of 2004 (Measure Q) pays for library services. Measure Q extends the Library Services Retention and Enhancement Act of 1994 (Measure O), keeping all provisions of the original 1994 parcel tax unchanged. This measure covers approximately 103,000 parcels and generates approximately \$11.01 million in annual special tax revenue. The measure will sunset on July 1, 2024, unless the City Council submits an ordinance to the voters of the City of Oakland extending the tax and the voters approve this extension.
- Rockridge Library Community Facilities District of 1992 pays for construction and operations of the Rockridge Branch Library. This district includes approximately 3,880 parcels and generates approximately \$135,000 in annual assessment revenue. The district will sunset on July 1, 2020.

#### Request for Proposals (RFP) Process

The City's current Assessment Engineering contract expires in December 2004. To ensure a new contract in place beginning January 2005, staff issued a Request for Proposals (RFP) in summer 2004. Foremost in the contractor's responsibility is the

maintenance and updating of the respective district assessment and special tax levy data pursuant to existing methodology and in accordance with governing statutes.

An announcement of the RFP period was distributed to 105 firms and two professional associations, including the California Society of Municipal Finance Officers and the Consulting Engineers And Land Surveyors of California. Company names were obtained from referrals and the City Administrator's Contract Compliance Office. A notice was also published in the *Oakland Tribune*. Copies of the full RFP were made available in the Budget Office to all respondents who requested one. A full copy of the RFP was also placed on the Budget Office's website.

Information about the pre-proposal conference was included in the RFP. The preproposal conference was held on August 16, 2004, by the City Administrator's Contract Compliance Office and Budget Office, and was attended by representatives of four firms. Subsequent to the conference, notes were distributed to all firms on the mailing list. The notes were issued to provide clarification of the original RFP and to answer questions posed at the conference.

On September 13, 2004, the Budget Office received responses to the RFP from four firms: Berryman & Henigar, Francisco and Associates Inc., MuniFinancial and N B S. All of these firms provide assessment engineering services for municipalities and special districts throughout California.

The City Administrator's Contract Compliance and Employment Services Division reviewed each proposal for compliance with the City's required minimum rate of participation for Local and Small Local Business Enterprises (L/SLBEs; please see Appendix 1). As of the RFP deadline (September 13, 2004), no firm had been designated an L/SLBE by the Contract Compliance Division. Contract Compliance determined that only Francisco & Associates met the minimum participation requirements by identifying opportunities for a designated City of Oakland L/SLBE to conduct field research within its bid.

Staff invited all four firms to the Budget Office on October 6, 2004 to address general questions related to how each would implement their proposed scopes of work. Interview panelists included representatives from the Budget Office, Fire Services Agency and City Administrator's Office.

**Based on the firm's proposal, presentation, prior work quality and references, staff** recommends award of the FY 2005-07 district engineering services contract to Francisco & Associates, Inc. Please see Appendix 2 for the committee's evaluation criteria and evaluation ratings.

Francisco & Associates, Inc. has been the City of Oakland's District Engineer for the LLAD, Measures M, N and O (now Q) and the Rockridge Library Community Facilities District since FY 2001-02. In FY 2003-04, Francisco & Associates developed the methodology of benefit for the Wildfire Prevention Assessment District and prepared its first Engineer's Report. In addition, Francisco & Associates determined the methodology

of benefit for the proposed Violence Prevention and Public Safety Act of 2004, which will be decided upon by Oakland voters during the November 2004 general election.

Over the past four years, Francisco & Associates, Inc. has done a commendable job as the City's District Engineer. The team has completed the past scopes of work in a timely manner, ensuring that the City generates delivering superior results in accordance with the City requirements and within budget. The firm has proven that it can provide the requisite property assessment data to the Alameda County Auditor in the shortest amount of time and at the least cost, given its familiarity with the data. This aspect of Francisco & Associates ability is critical, as Alameda County allows for no exception in a municipality's submittal of its final revised assessment roll.

#### **PROJECT DESCRIPTION**

As required by the legislation approving each district, a professional engineer must be retained to determine the land uses and assessment rates for each parcel in the districts, to develop the Assessment Roll annually for the County Assessor, and to assist with any informational requests required by the City or any citizen or property owner relating to the districts. For the LLAD, the requirement of a District Engineer of Work is further mandated by the California Streets and Highways Code, Sections 22500, et seq., known as the Landscaping and Lighting Act of 1972.

It must be noted that the possibility of designating a City employee as the District Engineer of Work was considered in previous years for which contract services were eventually secured. There is no in-house staff with the specific skills to accomplish the required work. Further, utilizing a consulting assessment engineer for the districts provides a perspective based on impartial engineering judgment. An independent engineer can more effectively act as an arbitrator or mediator, providing impartial decisions and recommendations to resolve assessment disputes.

Once the contractor completes the Engineer's Reports for the districts, the Oakland City Council will hold a public hearing for each district to provide an opportunity for any interested person to be heard. At the conclusion of the public hearings, the City Council can adopt legislation confirming the levy of assessments as proposed in the Engineer's Reports, or as modified. Following this formal process, the Engineer will be responsible for preparing and filing a final Assessment Roll with the Alameda County Assessor's Office for the FY 2005-06 and FY 2006-07 tax rolls, respectively.

The final Assessment Roll for FY 2005-06 is due to the County in August of 2005, and FY 2006-07 roll in August of 2006. To meet this deadline, the engineer begins work in January of the same year.

#### SUSTAINABLE OPPORTUNITIES

Entering into contract with Francisco & Associates, Inc. enables the City to comply with the legislative mandates to retain a professional engineer for the districts. In doing so, the

City directly and indirectly maintains economic, environmental, and social equity opportunities as follows:

Economic: The funding provided by the districts contributes to the City of Oakland's ability to protect its assets and retain employees that provide the operations and maintenance services for the districts' activities.

Environmental: The funding provided by the districts enables the City of Oakland to maintain and enhance the City's street lighting, open space and parklands, as well as to protect its fire-prone brush areas.

Social Equity: The funding provided by the districts enables the City of Oakland to enhance access to public spaces and facilities.

#### DISABILITIES AND SENIOR CITIZEN ACCESS

Ensuring compliance with the districts' legislative requirements through the contract with Francisco & Associates, Inc. enables the City of Oakland to access funding that can be used to enhance equal access to public spaces and facilities.

#### **RECOMMENDATION AND RATIONALE**

Awarding a contract for engineering services for the special districts and parcel taxes is time sensitive. In order to file the Assessment Roll for placement in the FY 2005-06 property tax roll in August 2005, the engineering services must begin in January. Staff recommends that the City Council approve the resolution authorizing the City Administrator to amend, extend, and execute the contractual agreement with Francisco & Associates, Inc. for a two-year amount not to exceed \$122,000 to perform engineering services for the Landscaping and Lighting Assessment District, the Wildfire Prevention Assessment District, the Emergency Medical Services Retention Act of 1997 (Measure M), the Paramedic Services Act of 1997 (Measure N), the Library Services Retention and Enhancement Act of 2004 (Measure Q), and the Rockridge Library Community Facilities District for Fiscal Years 2005-06 and 2006-07.

Respectfully submitted,

MARIANNA MARYSHEVA BUDGET DIRECTOR

Prepared by: Cheryl L. Taylor Principal Financial Analyst

#### **APPENDICES**:

Appendix 1: Contract Compliance Evaluation Appendix 2: Interview Evaluation Matrix

#### APPROVED AND FORWARDED TO THE FINANCE AND MANAGEMENT COMMITTEE

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OFFICĚ OF THE CITY ADMINISTRATOR

**APPENDIX 1** 

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## CITY OF OAKLAND CITY ADMINISTRATOR 'S OFFICE CONTRACT COMPLIANCE AND EMPLOYMENT SERVICES

# MEMO

TO:	Cheryl L. Taylor, Acting Principal Financial Analyst
FROM:	Deborah L. Barnes, Manager Juban L. Barnes, Manager Juban L. Barnes, Manager Juban L. Barnes
CC:	Mary Mayberry
RE:	Compliance Evaluation - District Engineering Services
DATE:	September 20, 2004

Contract Compliance and Employment Services (CC&ES) reviewed the four proposals received for the above referenced project.

An analysis of the Local and Small Local Business Enterprise (L& SLBE) participation is as follows:

COMPANY NAME	LBE	SLBE	TOTAL	PREFERENCE POINTS
Muni Financial <sup>1</sup>	0%	0%	0%	0
Berryman and Hennigar	0%	0%	0%	0
Francisco and Associates	0%	20%	20%	2
NBS	0%	0%	0%	0

As noted above, only Francisco and Associates met the 20% requirement. Therefore, only Francisco and Associates is deemed responsive as it relates to the L/SLBE Program.

Additionally, the preference points listed above are to be added to the final evaluation score as indicated.

If you have any questions, or need additional information, please do not hesitate to contact me at 238-6270 or Mary Mayberry, the Contract Compliance Officer assigned to the project at 238-7324.

<sup>&</sup>lt;sup>1</sup> Muni Financial was certified as a LBE after the proposal due date of 9/13/04.

Discipline	Prime & Subs	Location	Cert.	LBE	SLBE	TOTAL	TOTAL	For Tracking Only	
			Status			LBE/SLBE	%	Ethn. WI	
PRIME	Muni Financial	Oakland	СВ	10%		10%	100%		
	Proje	ect Totals		10%	0%	10%	100% 100%	0%	
PR	OFESSIONAL SERV	VICE GOALS		LBE 10%	SLBE 10%	LBE/SLBE 20%	<b>Requirement:</b> The 20% requirement is a combination of 10% LBE a 10% SLBE participation. An SLBE firm can be counted 100% towards achieving the 20% requirement.		

WBE Women Business Enterprise (tracking only)

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- C Caucasian
- NA Native American

Project Totals 0% 0% 0% 100% 0%	Project Totals 0% 0% 0% 100%

WBE Women Business Enterprise (tracking only)

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C Caucasian NA Native American

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	Total Project Cost for: ) Project No.:	District Engineer	ring Serv	vices							
Discipline	Prime & Subs	Location		Cert. Status	LBE	SLBE	TOTAL	TÓTÄL %	Ethn.	For Tracking	191346-4
<b>PRIME</b> Research	Francisco & Associates Accel Employment Service	Oakland Oakland	UB CB		20%	LBE/SLBE 20%	80% 20%		MIDL	WBE	
	Projec	t Totals		0%	20%	20%	100% 100%		0%	0%	
F	PROFESSIONAL SERVI	CE GOALS		LBE 10%	SLBE 10%	LBE/SLBE 20%	Requirement: The 20% requirement is a combination of 10% LBE and 10% SLBE participation. An SLBE firm can be counted 100% towards achieving the 20% requirement.			be counted	
LH SLH	BE All certified Local and Small Local BE Local Business Enterprise BE Small Local Business Enterprise RU Local Trucking Business	Businesses	UB AA	Certified Busin Uncertified Busin African Ameri Hispanic	usiness	<b>1</b>	4 				

MBE Minority Business Enterprise (tracking only)

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- WBE Women Business Enterprise (tracking only)
- A Asian C Caucasian
- NA Native American

Discipline	Prime & Subs	Location	Cert. Status	LBE	SLBE	TOTAL	TOTAL		For Tracking	Only
						LBE/SLBE	%	Ethn.	MBE	WBE
PRIME	NBS	Temecula	UB				100%			
	Proje	ect Totals		0%	0%	0%	100% 100%			
PR	OFESSIONAL SERV	/ICE GOALS		LBE 10%	SLBE 10%	LBE/SLBE 20%				

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- MBE Minority Business Enterprise (tracking only)
- WBE Women Business Enterprise (tracking only)
- C Caucasian NA Native American

A Asian

**APPENDIX 2** 

#### **Interview Evaluation Matrix**

	Maximum	Francisco &	Muni		Berryman &
Evaluation Criteria	Score	Associates	Financial	NBS	Henigar
Project approach & organization	40.00	38.75	34.50	30.00	28.50
Prior firm/team experience in performing similar work	25.00	24.25	23.00	20.75	18.25
Cost competitiveness of bid	20.00	16.25	14.25	16.75	9.00
Knowledge of applicable codes, regulatons & standards	10.00	10.00	8.00	8.25	6.75
Contract compliance	5.00	2.00	-	-	-
TOTAL	100.00	91.25	79.75	75.75	62.50

# OAKLAND CITY COUNCIL

B. Reterch OFFICE OF THE CITY CLERK

RESOLUTION NO.

C. M. S.

2004 OCT 28 PM 4:08

**Resolution Authorizing the City Administrator to Renew and Execute** a Contract with Francisco & Associates for a Two-Year Amount Not to Exceed \$122,000 to Perform District Engineering Services For the Landscaping and Lighting Assessment District, the Wildfire Prevention Assessment District, the Emergency Services Retention Act of 1997 (Measure M), the Paramedic Services Act of 1997 (Measure N), the Library Services Retention and Enhancement Act of 2004 (Measure Q), and the **Rockridge Library Community Facilities District of 1992, for Fiscal Years** 2005-06 and 2006-07

WHEREAS, the City Council and local voters approved the Landscaping and Lighting Assessment District in 1989, the Wildfire Prevention Assessment District in 2004, the Emergency Services Retention Act of 1997 (Measure M), the Paramedic Services Act of 1997 (Measure N), the Library Services Retention and Enhancement Act of 2004 (Measure Q), and the Rockridge Community Facilities District ("Districts"); and

WHEREAS, a professional engineer must be retained for the above Assessment Districts to delineate the parcels included within the boundaries, to determine the land uses and assessment rates for each parcel in order to develop the Assessment Roll annually for the County Assessor, and assist with any informational requests required by the City relating to the Districts; and

WHEREAS, it is in the City's best interest to continue to utilize a consulting assessment engineer who can provide a perspective based on impartial engineering judgment, who can represent an interest not associated with the City or the affected property owner, and who possesses expertise not currently resident within the existing City staff; and

WHEREAS, Francisco & Associates, Inc. has done a commendable job as the District Engineer for the Districts over the past four fiscal years and was determined a finalist of a competitive selection process following the issuance of a Request for Proposals (RFP); and

WHEREAS, Francisco & Associates, Inc. can provide the requisite data in the shortest amount of time and at the least cost, given its familiarity with the City of Oakland and the data; and

WHEREAS, Francisco & Associates, Inc. was the only candidate to

have met the City's minimum participation requirement for Small/Small Local Business Enterprises; and

WHEREAS, Francisco & Associates, Inc. met the other performance requirements outlined in the Request for Proposals notice issued by the City in summer 2004; and

WHEREAS, Francisco & Associates, Inc. is agreeable to a contract under the terms and conditions agreeable to the City; and

WHEREAS, engineering services are required immediately to develop the Fiscal Year 2005-2006 Annual Engineer's Reports for the Landscaping and Lighting Assessment District and for the Wildfire Prevention and Assessment District, prepare data for the Alameda County FY 2005-06 Assessment Roll, and respond to requests relative to the other named Districts and Special Tax Levies; and

WHEREAS, the City Council finds that the service is professional, scientific or technical, is temporary in nature, and shall not result in the loss of salary or employment by any person having permanent status in the competitive service;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Oakland that the City desires to continue with the preparation and acts necessary for the Districts and Special Tax Levies; and be it further

RESOLVED that the City Administrator is authorized as follows:

- 1. Renew and execute a contract with Francisco & Associates, Inc. for FY 2005-06 and FY 2006-07, for mandated district engineering services in an amount not-to-exceed \$122,000.
- Designate Francisco & Associates, Inc. as the Engineer of Work for the Landscaping and Lighting Assessment District (LLAD), the Wildfire Prevention Assessment District, the Emergency Medical Services Retention Act of 1997 (Measure M), the Paramedic Services Act of 1997 (Measure N), the Library Services Retention and Enhancement Act of 2004 (Measure Q), and the Rockridge Community Facilities District for FY 2005-06 and FY 2006-07.
- 3. Determine a contract period of January 1, 2005 through December 31, 2006.
- 4. Direct Francisco & Associates, Inc. to file an annual report for the Landscaping and Lighting Assessment District with the City Clerk in accordance with the provisions of the Landscaping and Lighting Act of 1972, specifically Section 22622 of the California Streets and Highways Code.

5. Direct Francisco & Associates, Inc. to file an annual report for the Wildfire Prevention Assessment District with the City Clerk in accordance with the provisions of Section 50078 of the California Government Code, per the Oakland Fire Suppression, Prevention and Preparedness District Ordinance (2003).

#### And be it further

RESOLVED that funding for the contract will come from the following sources:

Landscaping and Lighting Assessment District (Fund 2310):	\$41,785
Wildfire Prevention Assessment District (Fund 2320):	25,893
Measure M - Emergency Medical Services Retention Act (Fund 2412):	8,358
Measure N - Paramedic Services Act (Fund 2250):	8,358
Measure Q - Library Services Retention and Enhancement Act (Fund 2240):	31,339
Rockridge Library Community Facilities District (Fund 5130):	6,267
Total:	\$122,000

, with the three percent contract compliance fee, which equals \$3,660, split proportionally among the district, and be it further

RESOLVED that Francisco & Associates, Inc. is hereby directed to file an annual report for the Landscaping and Lighting Assessment District with the City Clerk in accordance with the provisions of the Landscaping and Lighting Act of 1972, specifically Section 22622 of the California Streets and Highways Code; and be it further

RESOLVED that Francisco & Associates, Inc. is hereby directed to file an annual report for the Wildfire Prevention Assessment District with the City Clerk in accordance with the provisions of Section 50078 of the California Government Code, per the Oakland Fire Suppression, Prevention and Preparedness District Ordinance (2003), and be it further

RESOLVED that the City Attorney will review the contract as to form and legality, and a copy of such executed contract will be placed on file at the City Clerk's Office.

IN COUNCIL, OAKLAND, CALIFORNIA

PASSED BY THE FOLLOWING VOTE:

BRUNNER, BROOKS, CHANG, DE LA FUENTE, NADEL, QUAN, REID, WAN

AYES---NOES---ABSENT---ABSTENTION----

ATTEST: